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GAU 2837

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August 27, 1999

Assistant Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that the original of this document and the enclosures referred to therein are being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" service, under 37 C.F.R. § 1.10 on August 27, 1999, and addressed to Assistant Commissioner for Patents, Washington, D.C., 20231.

  
Saul M. Solano

"Express Mail" Mailing Label No.: EL181326060US

Re: Title: ADJUSTABLE GUITAR NECK  
Applicant: Robert D. TAYLOR  
Serial No.: 09/321,271  
Filed: May 27, 1999  
Atty Docket No.: 08935-5/P01

Dear Sir or Madam:

Transmitted herewith for filing are the following documents with regard to the above:

- ▶ Information Disclosure Statement; and
- ▶ Form PTO-1449; eight (8) references.

So that we may have a timely record of this filing, please date stamp the enclosed stamped, self-addressed postcard and deposit it in the United States mail.

If there are any questions regarding the enclosed materials, the Examiner is requested to contact the undersigned attorney for the applicant.

Sincerely,



Peter K. Hahn  
of  
Luce, Forward, Hamilton & Scripps LLP

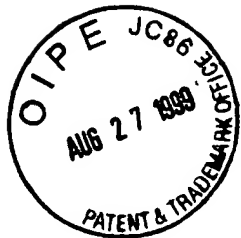
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Enclosures

cc: Mr. Gary Correia (with enclosures)

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#4/TDS  
Sept 9/18  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Robert D. TAYLOR  
Serial No.: 09/321,271  
Filed: May 27, 1999  
For: ADJUSTABLE GUITAR NECK  
Group No.: 2837  
Examiner: Not Assigned  
Attorney's Docket No.: 08935-5/P01

Assistant Commissioner for Patents  
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INFORMATION DISCLOSURE STATEMENT  
FILED WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION (37 CFR 1.97 (b))

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

I hereby certify that on August 27, 1999, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231

37 CFR 1.8(a)

☐ with sufficient postage as first class mail.

37 CFR 1.10

☒ as "Express Mail Post Office to Addressee"  
Mailing Label No. EL181326060US

Signature

Saul M. Solano

(type or print name of person certifying)

The following sections are being submitted for this Information Disclosure Statement:

1. ☐ Preliminary Statements
2. ☒ FORM PTO - 1449 (Modified)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement

Copies of The Following Were Not Available:

7. ☐ Concise Explanation of Non-English Language Listed Information Items
  - 7A. ☐ EPO Search Report
  - 7A. ☐ English Language Version of EPO Search Report
8. ☐ Translation(s) of Non-English Language Documents
9. ☐ Concise Explanation of English Language Listed Information Items (Optional)
10. ☐ Identification of Person(s) Making This Information Disclosure Statement

#### **Section 1. Preliminary statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

**Section 3. Statement as to Information Not Found In Patents or Publications (Information Not Listed in PTO 1449)**

NOT APPLICABLE

**Section 4. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted**

- ☐ This application relies, under 35 U.S.C. 120, on the earlier filing date of the following prior application

Serial No.:  
Filed On:

- ☐ This application also relies, under 35 U.S.C. 120, on the earlier filing date of the following prior application

Serial No.:  
Filed On:

- ☐ The following references were submitted to and/or cited by the Office in the prior application(s) and, therefore, are not required to be provided in this application:

**Section 5. Cumulative Patents or Publications**

**STATEMENT**

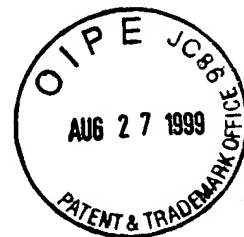
\_\_\_\_\_ is cumulative of the following patents or publications listed on Form PTO-1449 (Modified):

In accordance with 37 CFR 1.98(c) a copy of only \_\_\_\_\_ is being submitted with this Information Disclosure Statement.

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**Section 6. Copies of Listed Information Items Accompanying This Statement**

Legible copies of all items listed in Form PTO-1449 accompany this information statement.

*(complete the following, if applicable)*

- ☐ Exception(s) to above
  - ☐ Items in prior application from which an earlier filing date is claimed for this application as identified in Section 4.
  - ☐ Cumulative patents or publications identified in Section 5.

**Section 7. Concise Explanation of Non-English Language Listed Information Items**

**Section 7A. Concise Explanation of Non-English Language Listed Information Items--EPO Search Report**

The relevance with respect to the following citations listed on Form PTO-1449:

is submitted on the basis of the accompanying:

*(check the appropriate item)*

- ☐ EPO search report which is in the English language,
- ☐ EPO search report which is not in the English language and which is accompanied also by an English language version of the EPO search report, which issued on the corresponding European patent application.

**Section 7B. Concise Explanation of Non-English Language Listed Information Items--English Language Version of EPO Search Report**



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**Section 8. Translation(s) of Non-English Language Documents**

- ☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:
- ☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.
- ☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

**Section 9. Concise Explanation of English Language Listed Information Items (OPTIONAL)**

**Section 10. Identification of Person(s) Making THIS INFORMATION DISCLOSURE STATEMENT**

The person making this statement is

- (a) ☐ the inventor(s) who sign(s) below

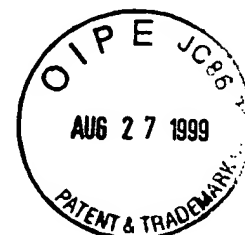
\_\_\_\_\_  
SIGNATURE OF INVENTOR

\_\_\_\_\_  
(Print Name of Inventor Who is Signing)

- (b) ☐ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))

\_\_\_\_\_  
SIGNATURE OF INVENTOR

\_\_\_\_\_  
(Print Name of Inventor Who is Signing)



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(c) ☒ the attorney who signs below on the basis of the information:

☐ supplied by the inventor(s).

☐ supplied by an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)).

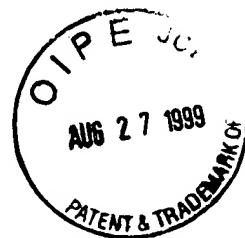
☒ in the attorney's file.

By



PETER K. HAHN, ESQ.  
Attorney for Applicant  
Registration No. 34,833

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